Features, Identity, and 'Yourself'   **Byron Ahn** (Princeton University)

[Introduction] Reflexive nominals in English contain a pronominal component and a √SELF component:

(1)   We will not embarrass <u>our</u> <u>selves</u>.

Both components of the anaphor and its antecedent in (1) match in the φ-features they expone (1.PL for *we* and *our*; just PL for *selves*), leading to analyses of binding that are predicated upon AGREE's feature-matching/ feature-valuing (e.g., Heinat 2006; Reuland 2006; Rooryck and Vanden Wyngaerd 2011). Even in works that do not take AGREE to be at the heart of binding, it has been stated pervasively in the literature that, as a matter of linguistic fact, the antecedent and anaphor must match in φ-features (e.g., Hicks 2009; Kratzer 2009), and even has been formulated as a principle (Safir 2004's Antecedent Agreement).

In an overwhelming majority of cases, the following two generalizations hold: **(i)** Anaphors and antecedents match in features (as in (1)), and **(ii)** "The form of the anaphor (e.g. the reflexive) plays no real role in the interpretation afforded" (Drummond et al. 2011, 399). As such, building φ-matching into binding theory would seem unproblematic. However, **neither of these generalizations holds across the board**.

[Internal Structure of English Anaphors] Before exploring the above generalizations, we must establish that English *self* behaves as a nominal, and the pronoun that proceeds it is a separable possessor:

(2)   We are <u>self</u>-deprecating. (≈ "*We deprecate ourselves*")   [Compounding/"Incorporation"]
(3)   Mayor Embarrasses <u>Self</u>, Spouse (≈ "*The mayor embarrasses themselves and their spouse*")[Headlinese]
(4)   You should do it by <u>your</u> own damn lazy <u>self</u>. (≈ "*You should do it by yourself*")   [Adj. Modifiers]

These patterns are predicted with anaphors like *yourself* being built up in the morphosyntax, with the pronoun in the DP-layer and a √SELF morpheme in the NP-layer. Such analyses appear as early as Postal 1966, on the basis of data similar to (2). More recently, Ahn and Kalin (*forthcoming*) use data like (4) to argue the pronouns (e.g., *your* in *yourself*) are inalienable possessors in the syntax (and draw structural parallels to the wide variety of languages with anaphors comprised of possessors plus body-part nominals).

[Core Data] The data below show that φ-features of each of these three nominals (antecedent, pronominal, and *self*), while identical in most contexts, can also vary independently of one another:

(5)   a. **<u>Everyone</u>** has been behaving **<u>them selves</u>** / %**<u>them self</u>**
               3.SG   3.PL   PL   3.PL   SG
       b. **<u>Each of us</u>** is doing it **<u>our selves</u>** / %**<u>our self</u>**
               3.SG   1.PL   PL   1.PL   SG
       c. **<u>We</u>**   all need to ask **<u>our self</u>** [a very serious question]   –*ABC Nightline, 1997*
               1.PL   1.PL   SG
       d. **<u>You guys</u>** pushed **<u>your self</u>**, drove **<u>your self</u>**, sacrificed, trained, and competed
               2.PL   2   SG   2   SG   –*Mitt Romney, 2002*
(6)   a. If I were you, **<u>I</u>**   would do **<u>your self</u>** a favor and bring food.   [*median rating: 4/5*]
                               1.SG   2   SG
       b. If I were you guys, **<u>I</u>**   wouldn't try to move the fridge by **<u>your selves</u>**.   [*median rating: 5/5*]
                                   1.SG   2   PL
       c. If I were them, **<u>I</u>**   wouldn't try to plan the whole thing by **<u>them selves</u>**. [*median rating: 4/5*]
                               1.SG   3.PL   PL

The data in (5) come from corpus searches and native-speaker linguist judgments. (Data like (5a–b) are also discussed in Collins and Postal 2012.) The data in (6) —the literature (e.g., Lakoff 1996) appears to <u>not</u> yet have reported this sort of data— come from a sentence-rating task on Mechanical Turk (*n=78*). Each sentence was rated 39 times. (There were four different presentation lists; each list contained a different half of the sentences; each participant was randomly assigned to a list.) Each sentence was accompanied by a comic strip to provide context. Median scores are given to the right of each example (1="unnatural"; 5="natural").

[Analysis] Both pronominal and √SELF components of **English anaphors need _not_ match their antecedent in φ-features**. As such, what rules out '*I₁ like it₁self*' must not be a requirement on φ-feature matching. **Instead, binding requires *interpretive* co-identity.** (While '*they*' is 3.PL and '*each of us*' is 3.SG [as shown by verb agreement], they can be interpretively construed as a [bound variable] individual or as a group including the speaker, respectively.) Interpretational **co-identity between the bound pronoun and its antecedent is enforced at LF** (see, e.g., Kratzer 2009 for a technical implementation).

LF co-identity is straightforward in a (typical) case of matched φ-features; even in counterfactual contexts

like (6), <u>feature matching is always possible</u> ('...***I*** *wouldn't*...***my****self*'). Since co-identity is also possible when φ-features don't match, this LF identity function <u>must not be particularly strict</u>. This is coherent because φ-features (e.g., grammatical plural) don't align perfectly with interpretive concepts (e.g., notional plural).

It is <u>*not*</u> **the case that the form of the anaphor "plays no real role in the interpretation"**; in fact, further evidence (below) suggests LF plays a critical role.

The Role of LF With mismatches, only certain person-number combinations are possible. Compare (6c), with a 1.sg antecedent, and (7), with a 1.pl antecedent.

(7) *If we were them, <u>**we**</u> wouldn't try to plan the whole thing by <u>**them**</u> selves.   [*median rating: 2/5*]

Whenever the antecedent was 1.pl and/or the anaphor's pronominal component was 3.sg, the sentences were judged unnatural in binding contexts. (The ratings for each of these unacceptable conditions was low [median rating $\leq$ 2.5], and pairwise t-tests reveal they are statistically different from the ratings of each of the acceptable conditions [i.e., those represented in (6)].) On the other hand, in contrast to the bound pronoun in (7), a non-bound 3.pl pronoun, as in (8), <u>can</u> occur in the modal clause with a 1.pl subject:

(8) If we were them, <u>**we**</u> wouldn't try to plan the whole thing without <u>**their**</u> boss.

(8) is only acceptable if '*we*' does not bind '*their*' (i.e., '*their boss*' is not interpreted as '*the boss of us-as-them*'). Thus it is feature (mis)match <u>under binding</u> that is constrained. The (un)grammaticality of feature mismatch in contexts like (6) or (7) is thus governed by the LF co-identity requirement for bound variable interpretations, and the interaction of counterfactuals and the interpretation of φ-features. (The precise reason for (7)'s unacceptability is not fully laid out here; what matters is the contrast between (7) and (8).)

Moreover, a "Freaky Friday" context (like (6) or (9)) with feature mismatch between the antecedent and pronominal component <u>requires irrealis mood</u>. Compare (6a) with (9):

(9) *When I was you (in my dream), <u>**I**</u> did <u>**your**</u> self a favor and brought food.

This further implicates LF (and not, e.g., Agree) as what (dis)allows a φ-mismatched bound pronoun.

Finally, turning to √SELF, its number can independently contribute to interpretation. Consider (10):

(10) We all moved the piano by ourselves / %ourself.

Speakers report the '*ourself*' variant to strongly suggest a distributive reading ("*We all took turns and each moved it without help*"), while the '*ourselves*' variant is ambiguous. √SELF's φ-features also contribute to LF.

Conclusions The φ-features of antecedents, pronouns, and √SELFs can vary independently of one another. This is a natural state of affairs, if **what matters for binding is co-identity between the antecedent and pronominal component of the anaphor**, and this co-identity is enforced at LF (and not by Agree).

On their own, the facts reported here provide a forceful empirical argument against the strongest form of analysis in which binding requires φ-feature matching. Beyond that, we can conclude that anaphors are syntactically complex, and the φ-features of the pronominal component, or of √SELF, don't just serve as markers of agreement; **manipulating these morphosyntactic features manipulates semantic interpretation**.

Finally, what has been viewed as a single phenomenon (matched φ-features between anaphors and antecedents) is necessarily <u>multiple</u> phenomena (co-identity between the antecedent and the bound pronoun; number on √SELF), which impact interpretation at LF. That is, **what has been thought of as a single operation/constraint (e.g., anaphor binding) ought to instead be broken down into multiple component operations/constraints**, and (at least) some of those components are deeply intertwined with LF.

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